Appendix 1

Monthly Code of Conduct Cases 2020

| | Cases received | Assessed by Assessment Sub Committee - Investigation | Assessed by Assessment Sub Committee - no further action | Alternative Resolution/complaint withdrawn | Pending assessment by Assessment Sub Committee (*assessed & decision notice being Finalised) | Other | Complaints Determined by MO under Paragraph 4.6 |
|----------|-------------------|--|---|--|--|-------|---|
| January | 4 | 0 | 0 | 1 | 0 | 0 | 3 |
| February | 4 | 0 | 0 | 1 | 0 | 1 | 2 |
| March | 2 | 2 | 0 | 0 | 0 | 0 | 0 |
| April | 5 | 2 | 0 | 2 | 0 | 0 | 1 |
| May | 3 | 2 | 0 | 1 | 0 | 0 | 0 |
| June | 5 | 1 | 3 | 0 | 0 | 0 | 1 |
| July | 4 | 0 | 2 | 0 | 0 | 1 | 1 |
| August | 10 | 0 | 6 | 3 | 0 | 0 | 1 |
| Sept | 12 | 0 | 5 | 0 | 1 | 3 | 3 |
| Oct | 6 | 2 | 0 | 0 | 0 | 0 | 4 |
| Nov | 4 | 0 | 2 | 0 | 0 | 1 | 1 |
| Dec | 3 | 0 | 0 | 0 | 2 | 0 | 1 |

Monthly Code of Conduct Cases 2021

| | Cases | Assessed by | Assessed by | Alternative | Pending | Other | Complaints |
|---------|----------|---------------|--------------|----------------------|--------------|-------|---------------|
| | received | Assessment | Assessment | Resolution/complaint | assessment | | Determined by |
| | | Sub | Sub | withdrawn | by | | MO under |
| | | Committee - | Committee | | Assessment | | Paragraph 4.6 |
| | | Investigation | - no further | | Sub | | |
| | | | action | | Committee | | |
| | | | | | (*assessed & | | |
| | | | | | decision | | |
| | | | | | notice being | | |
| | | | | | Finalised) | | |
| January | 5 | 0 | 0 | 0 | 5 | 0 | 0 |